



Application

for an

Idaho Community Development Block Grant



by the **City of Rockland**

November 18, 2011



Submitted by: **KELLER**  
associates  
Keller Associates, Inc.





City of Rockland  
PO Box 113  
Rockland, ID 83271  
208-548-2489

Eddy Hansen, Mayor  
208-548-2783

Denise Ralphs, Clerk  
208-548-2421

Jim Kariger, Maintenance  
208-548-2715

**OFFICE HOURS**  
Monday and  
Wednesday  
9:00 am - 1:00 pm

November 18, 2011

Director Jeffery Sayer  
Idaho Department of Commerce  
P.O. Box 83720  
Boise, ID 83720

**RE: Application for an Idaho Community Development Block Grant**

Dear Director Sayer:

The City of Rockland respectfully submits this application for an Idaho Community Development Block Grant. The City is in the process of improving their wastewater system to prevent violations to their NPDES permit. Securing funding to make these improvements is a necessary step in this process. The ICDBG funds will supplement the IDEQ loan which we are eligible for.

A Wastewater Facility Planning Study indicated that the City of Rockland's wastewater system has been operating in violation of its current NPDES permit, administered by EPA under the authority of the Clean Water Act (CWA). The most recent NPDES Compliance Inspection was conducted by IDEQ in June of 2011. The City received a Notice of Continuing Noncompliance from EPA in October of 2011. The letter from EPA identified effluent limitation exceedances that constitute more than 528 violations of the Clean Water Act. Continuing to violate the NPDES permit limits will make the system subject to enforcement action that could include revocation of the discharge permit as well as civil and criminal penalties. The letter from EPA stresses the fact that EPA takes these violations very seriously and is reviewing the case for possible further enforcement. Action by EPA is a real threat as evidenced recently in the State of Idaho in towns such as Georgetown, Marsing, Kooskia, Driggs, Emmett, Buhl, Burley, Ashton, Council, Moscow, and Bonners Ferry.

This application for a \$500,000 grant will eliminate the problems associated with the Wastewater System for approximately 316 persons, of whom 57.39% meet LMI standards. The City is in great need of Idaho Community Block Grant funds to help complete the \$3,000,000 wastewater system improvements project, which is being funded by Idaho Department of Environmental Quality. The grant would be used to partially pay the Engineering and Administration costs. In 2011, the City raised their sewer rates from \$14 to \$43. A Judicial Confirmation was granted on November 10, 2011.

This is a large project for the small City of Rockland. The community supports the project and looks forward to completion, but additional funds are definitely needed to pay for it. *We appreciate your concern and attention to our grant request. The City of Rockland needs the support from ICDBG to help fund this important project.*

Sincerely,

A handwritten signature in blue ink that reads "Eddy Hansen".

Eddy Hansen  
Mayor, City of Rockland



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**ICDBG Grant Application Information Form**

Applicant (City/County): City of Rockland Chief Elected Official: Mayor Eddy Hansen  
 Address: P.O. Box 113, Rockland, ID 83271 Phone: (208) 548-2489  
 DUNS #: 780993320

Sub recipient: N/A

Application Prepared by: Kristine Szelmeczka – Keller Associates Phone: (208) 238-2146  
 Address: 305 N. 3<sup>rd</sup> Ave., Suite A, Pocatello, ID 83201

Architect/Engineer/Planner (Contact): Michael R. Jaglowski, P.E. Phone: (208) 238-2146  
 Address: 305 N. 3<sup>rd</sup> Ave., Suite A, Pocatello, ID 83201

**NATIONAL OBJECTIVE**

**PROJECT TYPE**

LMI Area     LMI Clientele     Imminent Threat     Public Facility/ Housing     Community Center  
 LMI Jobs     Slum & Blight     Economic Development     Senior Center

**PROJECT POPULATION TO BENEFIT (PERSONS):**

**TOTAL # TO BENEFIT: 316    TOTAL # LMI TO BENEFIT: 179    % LMI TO BENEFIT: 57.39%**

*(See Appendix A – U.S. Census Bureau Fact Sheet, Appendix D – Income Survey)*

**PROJECT DESCRIPTION:** To construct a land application site with a winter storage lagoon and perform additional improvements at the existing lagoons. Replacement of the valves, sludge removal from the lagoons, and modifications to the transfer and outlet structures would be required.

Source	Amount	Date Application Submitted	Funds Committed/ Contract Award Date	Documentation in Appendix
DEQ Loan*	\$2,500,000	September 2011	May 2011	Appendix B
Potential ICDB Grant (City Match)	\$ 500,000	November 2011	Pending	N/A
<b>TOTAL PROJECT FINANCING</b>	<b>\$3,000,000</b>			

\*Judicial Confirmation passed on November 10, 2011 *(See Appendix B – Funding Sources)*





**V. Economic Advisory Council Page**

**The Need – Major Treatment Plant Improvements:** The City of Rockland owns, maintains, and operates a wastewater collection system that conveys wastewater from residents and businesses to the wastewater treatment facility. The facility provides lagoon treatment of domestic sewage prior to discharge. The City currently discharges to the South Fork or Rock Creek under its NPDES permit (ID-002204-7). The treatment facility consists of three facultative lagoons built in the early 1970’s. The lagoons were built with an impervious clay liner. The facility was designed for winter discharge without chlorination and summer retention from May through September.

After a NPDES Compliance Inspection conducted by IDEQ in May of 2008, the City received a Notice of Violation letter from EPA November of 2008. EPA reviewed the City’s permit conditions and monthly Discharge Monitoring Reports submitted between September 2003 and July 2008 and identified effluent limitation exceedances violate the Clean Water Act. EPA also identified violations to three of the conditions in the City’s NPDES permit. These violations include lack of operability of bypass and transfer valves between the lagoons, the minimum dilution requirement at the outfall, and the location of flow measurement and water quality sampling upstream from the influence of the outfall from the lagoons.

Another NPDES Compliance Inspection was conducted by IDEQ in June of 2011. The City also received a Notice of Continuing Noncompliance from EPA in October of 2011. This letter identifies effluent limitation exceedances that constitute more than 528 violations of the Clean Water Act.

Recommendations have been identified to remediate the system deficiencies. The following items are included in the improvements:

Recommendations	Cost
Treatment	\$ 1,400,000
Collection	\$ 200,000
Storage	\$ 650,000
Land Acquisition	\$ 170,000
Engineering and Surveying	\$ 436,300
Administration, Legal, and Financial	\$ 143,700
<b>Total Cost:</b>	<b>\$ 3,000,000</b>

CDBG will be used to pay for a portion of the Engineering costs and Grant administration costs.

Local Ability to Finance	Amount
DEQ Loan	\$ 2,242,926
DEQ Principal Subsidy	\$ 257,074
Possible ICDBG (Cash Match)	\$ 500,000
<b>Total:</b>	<b>\$ 3,000,000</b>
Judicial Confirmation granted on November 10, 2011	
In September 2011, user fees were raised from \$14 to \$43.	

**Local Effort and Commitment:** City officials have shown their commitment for the successful completion of this project. They established a Citizen’s Advisory Committee that met for several months.

**Local and Regional Economic Impact:** Improvements to the system must be made in order to maintain public health, environmental health, maintain compliance, avoid EPA fines and meet current and future needs. This project will allow the City to continue to meet the area’s wastewater treatment needs and be prepared for the projected future growth.





**VI. Threshold Factors**

**A. Eligible Applicant:**

The applicant is a city  The applicant is a county

**B. Eligible Activities:**

These are the activities that will be funded with ICDBG Grant Funds:

- ✓ **Engineering Fees:** CDBG will be used to pay for a portion of the Engineering costs and Grant administration costs. The activities are in contract form between the City of Rockland and Keller Associates, Inc. A portion of the Engineering fees will be paid with ICDBG funds. *(See Appendix N – Design Professional)*
  
- ✓ **Administrative Activities:** CDBG will be used to pay for Funding Administration costs. Administrative activities will include the application, management, coordination and monitoring of activities necessary for effective planning, implementation and execution of the Idaho Community Development Block Grant project. The area-wide income survey and the Grant Application activities are in contract form between the City of Rockland and Keller Associates, Inc. If the Grant is awarded to the City, a contract will be secured between the two entities for Grant Administration activities. Kristine Szelmeczka, a certified grant administrator working for Keller Associates, will administer the grant. *(See Appendix C - Grant Administrator)*

**C. National Objective:**

**C.1. Low-and Moderate-Income Area Benefit:**

Total number of households in project benefit area 94. LMI % = 57.39%.

LMI Percentage Determined by:

- \_\_\_\_\_ Census Data – provide supporting documentation in Appendix.
- X   Income Survey – *(See Appendix D Income Survey Report)*
- \_\_\_\_\_ Census and Survey – provide supporting documentation/report in Appendix.

C.2. Low- and Moderate-Income Limited Clientele – N/A

C.3. Low- and Moderate-Income Housing – N/A

C.4. Low- and Moderate-Income Job Creation – N/A

C.5. Slum and Blight – N/A

C.6. Imminent Threat – N/A

**D. Citizen Participation Plan and Hearing:**

**Citizen Participation Background:** The citizens of Rockland have become informed and educated on this project over the past several years. The City of Rockland formed a Citizen’s Advisory Committee (CAC) comprised of members of the community, the City Council, and City Staff to work with Keller Associates in the process of completing the planning of the Wastewater Facilities Improvement project. The CAC met several times throughout the course of development to provide comment and guidance for the consultant to assist in the wastewater collection and treatment solutions that match the desires and needs of the community. The CAC met





with Keller Associates three times to discuss solution paths available to the City. Meetings were held on September 2, September 29, 2010 and January 5, 2011. The CAC selected a spokesperson to present their recommended solution path to the City Council at its January 10, 2011 meeting. *(See Appendix E – Public Education and Meeting Attendance Materials)*

✓ **Citizen Participation Plan and Hearing:** A Citizen Participation Plan was adopted by the Rockland City Council at a Public Hearing held November 17, 2011. *(See Appendix F - Citizen Participation Plan)*

✓ **Public Hearing Information:**

Notice Published in Power County Press: November 9, 2011  
Date of Public Hearing: November 17, 2011

*(See Appendix F - Citizen Participation Plan)*

**E. Statewide Goal and Strategy:**

Check the goal and strategy that best corresponds to the project.

- Increased access to decent affordable housing (goal)
  - Sustain and increase homeownership (strategy)
  - Sustain and increase affordable rental housing (strategy)
  - Support equal access to a continuum of housing services (strategy)
  
- Preserved and enhances suitable living environments (goal)
  - Improve safety and livability of communities (strategy)  
Example – consent order
  - Increase access to quality facilities and services (strategy)  
Example – new construction
  - Improve affordability and sustainability of quality facilities and services  
Example – rehab or renovation
  
- Expanding economic opportunities (goal)
  - Create jobs primarily for low- and moderate-income persons (strategy)
  - Prioritize projects that provide a living wage and fringe benefits (strategy)
  - Revitalize downtown business districts (strategy)

**F. Administrative Capacity:**

**1. Applicant’s Capacity**

The City of Rockland employs a part-time City Clerk/Treasurer. The Clerk has the experience and capability of providing documents and information upon request. Accounting systems are in place that provide for internal controls, reporting, source documentation, and other approved accounting activities. ICDBG funds will be managed separately, within the Wastewater Treatment Plant account. The City has a yearly audit done.

*(See Appendix G - WW Budget and City Audit)*

**2. Grant Administrator:** The City of Rockland has procured a certified grant administrator for the project. Keller Associates, Inc. has entered into a contract





with the City of Rockland to administer the Grant. Keller Associates has assisted numerous clients to obtain grants, loans, and congressional appropriations to provide funding for similar projects. Kristine Szelmezcza, an employee of Keller Associates, and a certified ICDB grant administrator will administer the grant. *(See Appendix C - Grant Administrator)*

**G. Fair Housing:** The City adopted a Fair Housing Resolution at the City Council Meeting held on November 14<sup>th</sup>, 2011. Publication will take place in December. See the signed Certification page. *(See Appendix H - Resolutions)*

**H. Anti-Displacement Resolution:** The City adopted the Anti-Displacement Resolution at the City Council Meeting held on November 14<sup>th</sup>, 2011. See the signed Certification page. *(See Appendix H - Resolutions)*

The City follows the guidelines for Section 504 of the Rehabilitation Act of 1973, but the Self-Evaluation checklist has not been completed yet. The City has committed to complete the evaluation as required by ICDBG. See the signed Certification page.

**VII. Program Income:** This project will not generate program income.

**VIII. Project Description:**

**A. Project Description:**

**Why is the project necessary?**

The City of Rockland's wastewater treatment system has been operating in violation of its current NPDES permit, administered by EPA under the authority of the Clean Water Act (CWA). Continuing to violate the NPDES permit limits will make the system subject to enforcement action that could include revocation of the discharge permit as well as civil and criminal penalties. The October 2011 letter by EPA stresses the fact that EPA takes these violations very seriously and is reviewing the case for possible further enforcement. Action by EPA is a real threat as evidenced recently in the State of Idaho in towns such as Georgetown, Marsing, Kooskia, Driggs, Emmett, Buhl, Burley, Ashton, Council, Moscow, and Bonners Ferry.

The City is in the process of improving their wastewater collection and treatment system to prevent violations to their NPDES permit. Securing funding to make these improvements is a necessary step in this process.

Recommendations to remediate the system deficiencies have been identified. The following items are included in the improvements project: To construct a land application site with a winter storage lagoon and perform additional improvements at the existing lagoons. Replacement of the valves, sludge removal from the lagoons, and modifications to the transfer and outlet structures would also be required.

**Expected outcomes and benefits of the project:**

Improvements to the system will result in maintained public health, environmental health, compliance with current regulations, and avoidance of EPA fines. This project will allow the





City of Rockland to continue to meet the wastewater treatment needs for this rural community and be prepared for future growth and to beneficially use the treated wastewater.

**Specific Components:**

System improvements have been identified to remediate the system deficiencies. The project will be completed using DEQ Loan funds, ICDBG funds, and City cash match. CDBG funds will be used for Engineering and Grant Administration fees.

Recommendations	Cost
Treatment	\$ 1,400,000
Collection	\$ 200,000
Storage	\$ 650,000
Land Acquisition	\$ 170,000
Engineering and Surveying	\$ 436,300
Administration, Legal, and Financial	\$ 143,700
<b>Total Cost:</b>	<b>\$ 3,000,000</b>

Specific components can be viewed in figures in Appendix I. *(See Appendix I - Figures)*

**B. Project Property & Permits**

1. Has any property and easements been purchased for this project?  Yes  No
2. Will any property be needed for this project?  Yes  No
3. Will any easements/or rights-of-way be needed for this project?  Yes  No
4. Is anyone living on the land or in the structures at the proposed site?  Yes  No
5. Is any business being conducted on the land or in the structures at the proposed site?  Yes  No
6. Are there any businesses, individuals, or farms being displaced as a result of this project?  Yes  No
7. Are there permits that will be needed for the project, i.e., well, water rights, land application, demolition permits, zoning permit, air quality permit, etc.?  Yes  No  
Permits that will be needed for the project include: land application, construction permit, and building permit. They will be obtained as needed.
8. The lease agreement was executed on November 16, 2011. *(See Property Exhibit in Appendix J)*

**IX. Budget Narrative:** *(See Appendix B - Funding Sources)*

**A. Government:** As explained below the City of Rockland Wastewater Treatment Facility Improvements project has the potential to receive government funding from IDEQ and IDOC:

- \$500,000 ICDBG funds are being applied for with this ICDBG Grant Application.
- DEQ loan funding has been committed in the amount of \$3,000,000.

Local Ability to Finance	Amount
DEQ Loan	\$ 2,242,926
DEQ Principal Subsidy	\$ 257,074
Possible ICDBG (Cash Match)	\$ 500,000
<b>Total:</b>	<b>\$ 3,000,000</b>
Judicial Confirmation was granted on November 10, 2011	
In September 2011, user fees were raised from \$14 to \$43.	

No private funds will be used for this project.





**X. Idaho Community Development Block Grant Budget Form**

Line Items	ICDBG	City Cash/DEQ Loan	Total
Administrative	\$50,000	\$18,000	\$68,000
Design Professional (Engineering and Surveying)	\$450,000	\$0	\$450,000
Planning (WWFPS and Grant Writing)	\$0	\$31,000	\$31,000
Construction	\$0	\$2,431,000	\$2,431,000
Legal & Audit	\$0	\$20,000	\$20,000
Equipment	\$0	\$0	\$0
<b>Total Costs</b>	<b>\$500,000</b>	<b>\$2,500,000</b>	<b>\$3,000,000</b>





**XI. Detailed Cost Analysis**

- 1. Have preliminary plans and specs been submitted to regulatory agencies for review? \_\_\_ Yes X No  
If yes, list date submitted: N/A  
If no, list expected date to be submitted: Spring 2012
  
- 2. Has final design (for bidding) begun? \_\_\_ Yes X No  
If yes, % complete: \_\_\_\_\_%  
If no, what is expected start date: Spring 2012
  
- 3. Will project include bid alternatives to meet project budget if necessary? X Yes \_\_\_ No
  
- 4. Are Davis Bacon wage rates applicable to the project? X Yes \_\_\_ No  
If yes, are they included in the project costs? X Yes \_\_\_ No
  
- 5. Have known environmental measures been included in project costs? (e.g., dust mitigation, archaeological survey, storm water drainage, wetland mitigation etc.) X Yes \_\_\_ No
  
- 6. List the last date the owner and design professional discussed project design and details. Date: November 14, 2011
  
- 7. Design Professional Cost Estimate may be found in Appendix K.





**XII. Project Schedule**

Project Activity	Date (to be) Completed	Documentation in Appendix
Design Professional Contract Executed	September 2011	Appendix M
Grant Administration Contract Executed	TBD	Appendix C
Environmental Release	Pending	Appendix P
Bid Document Approval	May 2012	Pending
Bid Opening	June 2012	Pending
Construction Contract Executed	July 2012	Pending
Start Construction	July 2012	Pending
Construction 50% Complete	September 2012	Pending
Second Public Hearing	October 2012	Pending
Certificate of Substantial Completion	November 2012	Pending
Update Fair Housing Plan	December 2012	Pending
Update 504 Review and Transition Plan	December 2012	Pending
Construction 100% Complete	December 2012	Pending
Final Closeout	February 2013	Pending

**In addition to the items above, please include the following for Job Creation projects:**

Project Activity	Date Completed	Date to be Completed
Business' Job Commitment finalized	N/A	N/A
Start Business Construction	N/A	N/A
Business Construction 50% Complete	N/A	N/A
Business Construction 100% Complete	N/A	N/A
Job Creation Completed	N/A	N/A

Name of Professional and Agency Contacts	Firm/Agency	Phone Number
Design Professional	Michael R. Jaglowski, P.E., Keller Associates	(208) 238-2146
Attorney	Robin Dunn, Attorney	(208) 745-9202
Funding Agency	Idaho Department of Commerce	(208) 334-2470
Funding Agency	Tim Wendland, Loan Officer, Idaho Department of Environmental Quality	(208) 373-0439
Environmental Officer	Matthew Hill, E.I., Keller Associates, Inc.	(208)238-2146
Regulatory Compliance	Tim Wendland, Loan Officer, Idaho Department of Environmental Quality	(208) 373-0439
City Maintenance Sup.	James Kariger/City of Rockland	(208) 548-2489
Finance Officer / Clerk	Denise Ralphs/City of Rockland	(208) 548-2489
Executive City Official	Mayor Eddy Hansen	(208) 548-2489





**XIII. Grantee and Sub-recipient Financial Profiles**

Is the Grantee a (circle one) City County

If a sub-recipient, what type of Organization (circle one)

- Water District      Sewer District      Homeowner’s Association
- For-Profit Company    Non-Profit Company    Water Association
- Fire District      Hospital District
- Other (please explain): \_\_\_\_\_ N/A

**Section I. Water System (only) – N/A**

**Section II. Sewer System (only – Input information for the sewer system (entity) that is expected to utilize the Idaho Community Block Grant funds.**

In 2011, the City raised their sewer rates from \$14 to \$43.

Sewer Treatment Method: Facultative Lagoon  
Do you have a Pre-treatment system? \_\_\_ Yes X No

Number of people served by the system	<u>316</u>
Number of residential connections on the system	<u>128</u>
Number of commercial connections on the system	<u>4</u>
Number of industrial connections on the system	<u>0</u>
Number of new connections within the last year	<u>1</u>

What are the current residential sewer rates	<u>\$ 43.00</u>
When was the last rate increase	<u>March 2011</u>
How much were the rates increased	<u>\$ 29.00</u>
Residential Connection Fee	<u>\$ 2,000</u>
Commercial Connection Fee	<u>\$ 2,000</u>
Industrial Connection Fee	<u>\$ 2,000</u>

*(See Appendix B - Funding Sources-City Council Meeting, March 14, 2011)*

**Annual sewer system revenue** \$ 66,048

Current dollar amount owned by customers in arrears \$ 1,031

**Annual sewer system expenses** \$ 34,650

**Identify outstanding indebtedness: N/A**

Years remaining	Annual Payment	Lender
_____	_____	_____
_____	_____	_____
_____	_____	_____

**Section III. All Applicants (except Sewer and Water): N/A**





**Section IV. All Applicants**  
**Land Use Planning**

When was the comprehensive plan last updated? May 19, 1993

Which of the following tools do you implement as land use measures and controls?

	Yes	No
Building Codes	<u>X</u>	___
Historical Preservation	<u>X</u>	___
Zoning Ordinances	<u>X</u>	___

*(See Appendix R – City Code)*

Do you currently implement any of the following?

	Yes	No
Economic Development Plan	<u>X</u>	___
Development Impact Fees	___	<u>X</u>
Local Option Tax (resort)	___	<u>X</u>
Tree City USA	<u>X</u>	___





#### XIV. ICDBG Environmental Scoping – Field Notes Checklist

Applicant: City of Rockland Sub-Recipient N/A

This site and desk review checklist is to be completed by the Applicant and submitted with the application.

The purpose of the checklist is to help the Applicant and IDC better understand what environmental statutes or provisions per 24 CFR 58 might impact the proposed project. The information will assist in understanding what studies, documentation, and mitigation measures could be applicable and to assist in completing the environmental review record. The Applicant may choose to attach this scoping checklist as part of the environmental review record.

##### 1. Limitations on Activities

Is the Grantee planning or in the process of acquiring property for this proposed project?  Yes  No

If yes, is the Applicant aware that land acquired or site work after submission of the ICDBG application is subject to 24 CFR 58.22 Limitation on Activities Requiring Clearance? Meaning once an application for ICDBG funds is submitted, neither Applicant or sub recipient, may commit Non-HUD funds to a project for land acquisition or site work (except for minor testing) before the environmental review is complete, unless the land acquisition or contract is conditioned on completion of the ICDBG environmental review.

##### 2. Historic Preservation

Has the SHPO or THPO been notified of the project?  Yes  No

Have tribes with possible cultural and religious sites been notified of the project?  Yes  No

##### 3. Floodplain Management

Is the project located within a floodway or floodplain designated on a current FEMA map? Check Web site [www.store.msc.fema.gov](http://www.store.msc.fema.gov)  Yes  No  Not Sure

If yes what is the floodplain map number? *(See Figure -Floodplain Map in Appendix I)*

160110      ROCKLAND,CTY/POWER CO      04/01/1977

If the project is located in a floodway or floodplain, is the community where the project is taking place a participant in the National Flood Insurance Program. Check Web site [www.idwr.idaho.gov/water/flood](http://www.idwr.idaho.gov/water/flood)  Yes  No *(See FEMA Community Status Book Report in Appendix I)*

##### 4. Wetland Protection

Are there ponds, marshes, bogs, swamps, drainage ways, streams, rivers, or other wetlands on or near the site?  Yes  No *(See Figure – Wetlands Map in Appendix I)*

If yes, has the Army Corps of Engineers (Corps) been notified?  Yes  No

Has the Corps indicated what permit level will be required?  Yes  No

##### 5. Sole Source Aquifers (Clean Water Act)

Is the proposed project located over an EPA designated aquifer area?  Yes  No  
(Check website [www.epa.gov/safewater/ssanp.html](http://www.epa.gov/safewater/ssanp.html)) *(See Figure – Eastern Snake River Plain Aquifer in Appendix I)*



Is it known at this time if construction will disturb more than one acre of land?  Yes  No

If yes, has a general permit for storm water discharges from construction sites been applied for from the EPA?  Yes  No

**6. Endangered Species Act**

Has U.S. Fish and Wildlife Service, NOAA Fisheries, and Idaho Fish and Game Regional Office been notified about the project?  Yes  No (Not NOAA Fisheries, N/A)

**7. Wild and Scenic Rivers Act**

Is the project located within one mile of a designated wild and scenic river? Idaho wild and scenic rivers include portions of the St. Joe, Lochsa, Selway, Middle Fork of the Clearwater, Snake, Rapid, and Middle Fork of the Salmon. Check Web site [www.nps.gov/rivers/](http://www.nps.gov/rivers/)  Yes  No (*See Wild and Scenic Rivers System Map in Appendix I*)

**8. Clean Air Act**

Is the project located in a designated non-attainment area for criteria air pollutants?  Yes  No

For building demolition or improvements, has an asbestos analysis been planned for or conducted?  Yes  No  N/A

For housing rehabilitation, has a lead based paint assessment been planned for or conducted?  Yes  No  N/A

**9. Farmland Protection Policy Act**

Is the project located on a site currently zoned as residential, commercial, and/or industrial?  Yes  No

Is the project area currently being utilized for farm or agricultural purposes?  Yes  No

If yes, has the USDA Natural Resource Conservation Service or local planning department been notified about the project?  Yes  No

**10. Environmental Justice**

Does project have a disproportionate environmental impact on low income or minority populations?  Yes  No

**11. Noise Abatement and Control**

Is the project new construction or rehabilitation of noise sensitive use (i.e., housing, mobile home parks, nursing homes, hospitals, and other uses where quiet is integral to the project functions)?  Yes  No

If yes, is the project located within 5 miles of an airport, 1000 feet of a major highway or busy road, or 3,000 feet of a railroad?  Yes  No





**12. Explosive and Flammable Operations**

Is the physical structure (not necessarily infrastructure) intended for residential, institutional, recreational, commercial or industrial use?  Yes  No  Unknown at this time

If yes, are there any above ground explosives, flammable fuels or chemical containers within one mile of the physical structure?  Yes  No

If yes, have you been able to identify what the container is holding and the container's size?  
 Yes  No

**13. Toxic Chemicals and Radioactive Materials**

Are there any known hazardous materials, contamination, chemicals, gases, and radioactive substance on or near the site?  Yes  No  Unknown at this time

If yes, explain \_\_\_\_\_  
\_\_\_\_\_

During the visual inspection of the site, are there signs of distressed vegetation, vents or fill pipes, storage/oil tanks, stained soil, dumped material, questionable containers, foul or noxious odors, etc.  
 Yes  No

If yes, explain:

At this time, are the site's previous uses known to have been gasoline stations, train depots, dry cleaners, agricultural operations, repair shops, landfill, etc.?  Yes  No

Are other funding agencies requiring the Grantee to perform an American Society for Testing Materials (ASTM) environmental assessment? ASTM assessment involves analysis of site uses and ownership, inspection of site, and possible testing.  Yes  No

**14. Airport Clear Zones and Accident Potential Zones**

Is the project located within a designated airport runway clear zone or protection zone?  Yes  No

Does the project involve acquisition of land or construction/rehabilitation of building or infrastructure in an airport runway clear zone or protection zone?  Yes  No

If yes, is the grantee aware that the airport operator may wish to purchase the property at some point in the future as part of a clear or accident zone acquisition program?  Yes  No

**15. Energy Efficient Designs**

For building construction, has the owner investigated possible incentives from power providers, such as Idaho Power, Avista, or Utah Power for incorporating energy efficient design into their building?  
 Yes  No

**16. Sediment Control (Clean Water Act)**

Will the construction project require storm and surface water discharge from the construction site?  
 Yes  No  Unknown at this time

If yes, has an application to EPA been submitted for a Construction General Permit (CGP)?  
 Yes  No





**17. Other Environmental Reviews**

Have facilities studies or other environmentally related site reviews been conducted or in the process of being conducted?  Yes  No

If yes, identify who is conducting the review. A wastewater facilities planning study was completed in August 2011 by Keller Associates, Inc. The Environmental Information Document was completed in conjunction with the planning study, but has not yet been finalized. (*See Existing Facility Evaluation – Appendix L*)

**18. Information Letters**

The advanced mailing of environmental information letters is sought in an effort to minimize the project’s timeline in waiting for necessary documentation or information. It will assist in earlier responses to required mitigation measures should the proposed project receive grant funding.

Check the agencies that have been mailed an environmental information letter.

Note: If other funding agencies have sought comment, in writing, from the agencies listed below for the same project, you may not need to send an information letter. Contact your Specialist if other environmental information or scoping letters have been sent.

- Idaho State Historic Preservation Officer
- Tribal Historic Preservation Officer or Tribal Office
- Idaho Department of Water Resources – Local Regional Office
- Army Corps of Engineers (if wetlands are applicable)
- U.S. Fish and Wildlife
- N/A NOAA Fisheries (if salmon and/or steelhead are applicable)
- Idaho Fish and Game
- USDA Natural Resource Conservation Service (if farmlands are applicable)
- Idaho Department of Environmental Quality
- N/A Local Government – Planning Department
- Others:
  - Idaho Department of Agriculture
  - Idaho Department of Commerce
  - Power County Commissioners
  - Shoshone-Bannock Tribes
  - Shoshone-Paiute Tribes
  - USDA-Rural Development
  - U.S. Department of Lands
  - U.S. Department of Environmental Protection Agency
  - U.S. Forest Service
  - S.E. Idaho Health Dept.
  - U.S. Fish & Wildlife

Completion of the scoping checklist does not constitute that all environmental provisions or clauses related to 24 CFR 58 Environmental Reviews have been met or are known at this time.

Kristine Szelmeczka

November 18, 2011

Completed By:

Date





**XV. Review and Ranking Narrative:**

**I. Program Impact (320 points):** Comparison of funding requested, beneficiaries and match.

**A. Percentage of Idaho Community Development Block Grant money in the total project (50/30/15/0 points):** Projects with the lowest percentage of grant dollars in their project total will receive more points.

The percentage of Idaho Community Development Block Grant money in the total project is 16.7%.

Project cost:	\$ 3,000,000
ICDBG:	\$ 500,000

**B. Percentage of Local Matching Funds compared to grant funds (60/40/20/0 points):** Projects with the highest percentage of local match will receive more points.

The City received a Judicial Confirmation on November 10, 2011.

DEQ loan portion:	\$ 2,469,000
Local match in cash:	\$ 1,000
Local match – project development:	<u>\$ 30,000</u>
Total Local Match:	\$ 2,500,000

Project cost:	\$ 3,000,000
---------------	--------------

83% Local Matching Funds

**C. Grant dollars per person: (50/30/15/0 points):** Projects with the lowest amount of grant money per person will receive higher points. (See page 7 for Budget Form.)

# of people in project area: 316

ICDBG Grant:	\$ 500,000
<hr/>	
Total Grant:	\$ 500,000

ICDBG Grant dollars per person:	\$ 1,582.27
Total Grant dollars per person:	\$ 1,582.27

**D. Local matching funds per person (60/40/20/0 points):** Projects with the highest amount of local match per person will receive higher points. (See page 7 for Budget Form.)

# of people in project area:	316
Local Matching Funds:	\$2,500,000
Local matching funds per person	\$ 7,911.39



**E. Eligible Activity Priority Ranking Sheet**

Fill in the percentage of the project’s budget that will be spent on the following activities. The Total Points Awarded column will be completed by department staff.

Eligible Activity	Points Possible	Percentage of ICDBG Budget Spent on Activity	Staff Points Awarded
Acquisition of Real Property	100		
Acquisition of Real Property for Housing Projects	50		
Public Facilities and Improvements-Health and Safety Related	100		
Public Facilities and Improvements-Housing Related	75		
Public Facilities and Improvements-Social Service Related	50		
Engineering-Architectural	100	90%	
Code Enforcement	50		
Clearance and Demolition	10		
Removal of Architectural Barriers	50		
Rental Income Payments	0		
Disposition of Property	10		
Public Services	0		
Completion of Urban Renewal Projects	0		
Relocation Payments	25		
Planning Activities	0		
Administration Activities	100	10%	
Grants to Nonprofit Community Organizations	0		
Grants to Nonprofit Community Organizations for Housing Projects	75		
Energy Planning	0		
Housing Rehabilitation	75		
Total Points Awarded to Project			

**II. National Objectives:**

A. As mentioned earlier in this document, an Area Wide Income Survey was done to determine if the City was eligible to apply for an ICDBG grant. The results of the survey demonstrated that the Low and Moderate Income level is 57.39% of the population of Rockland. *(See Survey in Appendix D)*

B. Need:

The need to complete the WWTP Improvements Project is great. The “Executive Summary” chapter from the Rockland Wastewater Facilities Planning Study can be





seen in *Appendix O*. The Rockland wastewater treatment facility was inspected by DEQ in May of 2008. The EPA reviewed DMRs from September 2003 to July 2008 and identified effluent limitation exceedances that constituted more than 387 violations to the Clean Water Act for that time period. An exceedance for a monthly average limit counts as a violation for each day of the month. The City of Rockland received a Notice of Violation on November 4, 2008. The City is authorized to discharge effluent from the WWTP to the Rock Creek under NPDES Permit No. ID-002204-7. Numerous violations can be viewed in an EPA Facility Report in *Appendix M* or at [www.epa-echo.gov](http://www.epa-echo.gov). Improving the City's wastewater system will preserve and enhance a suitable living environment by giving the City the ability to consistently meet EPA standards. The grant will enable the City to meet NPDES requirements.

C. Impact:

1. What benefits will low-and moderate-income persons receive from this project?  
The ICDBG grant will help pay the costs of the City's wastewater system. The facility will be able to operate in a condition to meet the future requirements, meeting EPA standards, minimizing violations and avoiding hefty fines. Improvements to the system must be made in order to maintain public health, maintain environmental health, maintain compliance, avoid EPA fines, and meet current and future needs. This project will allow the City of Rockland to continue to meet the wastewater treatment needs for this agricultural center and be prepared for future growth.
2. What will be the project's permanent impacts? The Rockland Wastewater Treatment system will be able to operate while meeting EPA standards. The non-compliances of the existing facility will be renewed by replacing, repairing, and updating the system. The community will be able to expand and grow with properly functioning infrastructure in place and beneficially use the treated wastewater.

III. Project Categories:

A. Planning, Previous actions and schedule:

1. Design Professional: The request for proposals for Engineering Services can be viewed in *Appendix N*. The City of Rockland followed state and federal procurement requirements in the process. (*See Design Professional in Appendix N*)
2. Grant Administration: The City of Rockland followed state and federal procurement requirements for ICDBG Grant Administration. (*See Grant Administrator in Appendix C*)
3. **Plan or Studies:** The City contracted with Keller Associates to complete a Wastewater Facilities Planning Study which was completed in August 2011. An Executive Summary can be seen in *Appendix O*. Alternatives for corrective actions were presented in the study that would enable the system to meet future needs and growth. The top priority and most beneficial actions were chosen to complete the project. The potential impact of the project on the environment was





evaluated in the “Environmental Information Document” that was written in conjunction with the WWFPS. A Site Plan can be seen in [Appendix I](#). Idaho Department of Environmental Quality (DEQ) approved the study. Approval letters can be seen in [Appendix P](#).

4. **Environmental Scoping:** The Environmental Scoping-Field Note Checklist has been completed. Environmental information request letters have been mailed out, and responses have been received back. The table below shows the agencies where the letters were sent and responses received. The Environmental Information Public Notice, the Agency Information Letter and the agency response letters can be seen in [Appendix Q](#).

Agencies Consulted	
Agency	Response
Army Corps of Engineers	X
Idaho Dept. of Agriculture	X
Idaho Dept. of Commerce	
Idaho Dept. of Environmental Quality	X
Idaho Dept. of Fish and Game, SE Region	X
Idaho Dept. of Parks and Recreation	X
Idaho Dept. of Water Resources	X
Idaho Power	
Idaho State Historical Society	X
Power County Commissioners	
Shoshone-Bannock Tribes	
Shoshone-Paiute Tribes	X
USDA-NRCS	
USDA-Rural Development	
U.S. Department of Lands	
U.S. Environmental Protection Agency	
U.S. Fish and Wildlife Service	X
U.S. Forest Service	

5. **Agency Viability:**
  - a. Utility Rate Review - The WWTP Facilities Planning Study, completed by Keller Associates, and approved by DEQ, includes recommended utility rates which the City takes into consideration when setting rates.
  - b. The ICDBG financial profile worksheet has been completed and can be found on page 10 of the application.
6. **Property Acquisition:** The City of Rockland has achieved project site control, that is, the City has ownership of the property including easements or right of way permits or has an option to purchase pending completion of environmental release.
  - a. Plat Map ([See Ownership in Appendix J](#))
7. **Funding Commitments:** A letter from DEQ can be seen in Appendix B – Funding Sources.






- 
8. **Schedule:** A project schedule can be seen on page 9 of the application.
  9. **Administrative Capacity:**
    - a. Administrative Capacity can be found on page 4 of the application.
    - b. The City follows guidelines that are written in the City of Rockland Municipal Code that are similar to those found in Section 504 of the Rehabilitation Act of 1973. The City has not completed the ICDBG Section 504 Self-Evaluation yet, but has committed to review and complete the evaluation as required by ICDBG requirements. See the signed Certification page. *(See Appendix R City Code)*
    - c. Fair Housing Accessibility Standards have been adopted by the City. *(See Appendix H - Resolutions)*
  10. **Cost analysis:** The cost analysis and budget forms can be seen on pages 6 and 7 of the application.

## XVI. CERTIFICATIONS

I certify the data in this application is true and correct, that this document has been duly authorized by the governing body of the City of Rockland and we will comply with the following laws and regulations if this application is approved and selected for funding.

- National Environmental Policy Act of 1969
- Civil Rights Act of 1964 Pub.L 88-352
- Civil Rights Act of 1968 Pub.L 90-284
- Age Discrimination Act of 1975
- Rehabilitation Act of 1973, Section 504
- Uniform Relocation Assistance and Real Property Acquisition Act of 1970, as amended (49 CFR Part 24)
- Housing and Community Development Act of 1974, as amended Pub.L 93-383
- Davis-Bacon Act (40 USC 276a - 276a-5)
- Historic Preservation Act
- OMB Circular A-87, and ensure that sub-recipient complies with A-110 and A-122
  
- Section 106 of the Housing and Urban Recovery Act of 1983 certifying to:
  - Minimize displacement as a result of activities assisted with CDBG funds by following the Idaho Department of Commerce's anti-displacement and relocation assistance plan;
  - Conduct and administer its program in conformance with Title VI and Title VIII, and affirmatively further fair housing;
  - Provide opportunities for citizen participation comparable to the state's requirements (those described in Section 104(a) of the Act, as amended);
  - Not use assessments or fees to recover the capital costs of ICDBG funded public improvements from low and moderate income owner occupants;
  - Abide by all state and federal rules and regulations related to the implementation and management of federal grants;
  - Assess and implement an Accessibility Plan for persons with disabilities in accordance with Section 504 of the Rehabilitation Act of 1973, as amended;
  - Adopt and implement an Excessive Force Policy;
  - Prohibition of Use of Assistance for Employment Relocation, Section 588 of the Disability Housing and work Responsibility Act of 1998 Pub. L 105-276.
  - Anti-Lobbying Certification: No federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned to any person for influencing or attempting to influence an officer or employee of any federal agency, a member of, employee of a member of, officer of or employee of Congress in connection with the awarding of any federal contract, the making of any federal grant or loan, the entering into any cooperative agreement and the extension, renewal, modification or amendment of any federal contract, grant, loan or cooperative agreement.

If any funds other than federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any federal agency, a member of, employee of a member of, officer of or employee of Congress in connection with this federal grant, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

  
Signed by Chief Elected Official  
Eddy Hansen, Mayor

11-16-11  
Date